

PATENT  
60709/17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Egidio Lavorgna, et al. : Group Art Unit: 2161

Serial No. 09/873,815 : Examiner:

Filed: June 4, 2001 : Atty. Dkt. No.: 60709-00017

For: SYSTEMS AND METHODS FOR  
MANAGING BUSINESS METRICS :

RECEIVED  
JAN 25 2002  
Technology Center 2100

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 11, 2001.

*Patrick W. Rasche*  
Patrick W. Rasche, Reg. No.: 37,916

INFORMATION DISCLOSURE STATEMENT

1. ☒ Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
2. ☐ Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
3. ☐ Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
4. ☐ Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)  
[before Issue Fee payment]

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

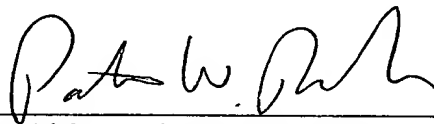
5. ☒ A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6. ☒ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
7. ☐ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
8. ☐ The required certification made in item 11 below; or

9.     \_\_\_     The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10.    \_\_\_     37 CFR 1.97(d): [before issue fee payment]; and
- \_\_\_     a)     This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
- \_\_\_     b)     The required Certification is stated in item 11 below.
11.    \_\_\_     Certification
12.    \_\_\_     Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13.    \_\_\_     No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14.    ✓     Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



Patrick W. Rasche Reg. No.: 37,916  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63012  
(314) 621-5070

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	09/873,815
Filing Date	June 4, 2001
First Named Inventor	Egidio Lavorgna, Jr., et al.
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	60709-00017

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	6,308,168 B1		Dovich, et al.	10/23/2001	
	AB	6,279,033 B1		Selvarajan, et al.	08/21/2001	
	AC	6,260,050 B1		Yost, et al.	07/10/2001	
	AD	6,173,310 B1		Yost, et al.	01/09/2001	
	AE	6,154,766		Yost, et al.	11/28/2000	
	AF	6,151,601		Papierniak, et al.	11/21/2000	
	AG	6,128,624		Papierniak, et al.	10/03/2000	
	AH	6,317,750 B1		Tortolani, et al.	11/13/2001	
	AI	6,289,352 B1		Proctor	09/11/2001	
	AJ	6,275,824 B1		O'Flaherty, et al.	08/14/2001	
	AK	6,157,967		Horst, et al.	12/05/2000	
	AL	6,222,533 B1		Notani, et al.	04/24/2001	
	AM	6,212,524 B1		Weissman, et al.	04/03/2001	
	AN	6,173,310 B1		Yost, et al.	01/09/2001	
	AO	6,167,396		Lokken	12/26/2000	
	AP	6,163,774		Lore, et al.	12/19/2000	
	AQ					
	AR					
	AS					
	AT					

RECEIVED  
JAN 25 2002  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	FA							
	FB							

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a checkmark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.